

① Polar Materials and LO-TO Splitting

• We derived "Dynamical Matrix Formalism" (Interatomic Forces Constant Method)

• Limit of this approach: Neglecting interaction of phonons with electromagnetic field

From previous lectures in 3D we have:

$$\| D_{\nu\alpha}(\mathbf{q}) - M_{\nu} \omega^2 \delta_{\nu\alpha} \| = 0$$

$$D_{\nu\alpha}(\mathbf{q}) = \sum_m D_{m\nu,\alpha}(\mathbf{q}, \omega) e^{i\mathbf{q} \cdot (\mathbf{r}_m - \mathbf{r}_{\alpha})}$$



DYNAMICAL MATRIX

SOLUTION IN 3D

$$\vec{u}_{\nu}(\mathbf{q}, \omega) = \vec{A}_{\nu}(\mathbf{q}, \omega) e^{i(\mathbf{q} \cdot \mathbf{r}_{\nu} - \omega t)}$$

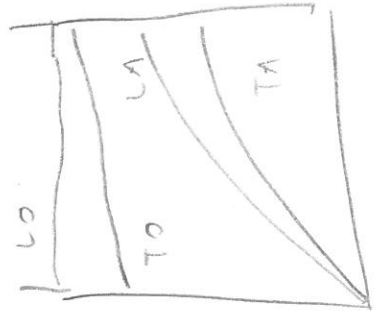
$$\vec{A}_{\nu}(\mathbf{q}, \omega) \cdot \vec{q} = 0 \quad \text{TRANSVERSE PHONON} \quad \times 2$$

$$\vec{A}_{\nu}(\mathbf{q}, \omega) \cdot \vec{q} \neq 0 \quad \text{LONGITUDINAL PHONON}$$

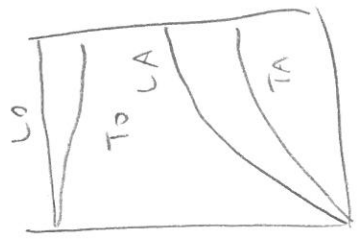
IN NON POLAR MATERIALS $\omega_{LO}(\mathbf{q} \rightarrow 0) = \omega_{TO}(\mathbf{q} \rightarrow 0)$

IN POLAR MATERIALS $\omega_{LO}(\mathbf{q} \rightarrow 0) \neq \omega_{TO}(\mathbf{q} \rightarrow 0)$

2



N5



REFRACTION INFRARED LIGHT

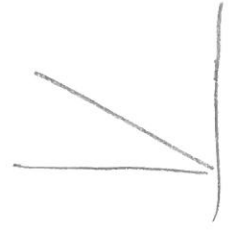
STRONG BETWEEN $\omega_{TO} < \omega < \omega_{LO}$ & RESTRAHLLEN RADIATION

For Thoms $\frac{\omega_{LO}^2}{\omega_{TO}^2} = \frac{\epsilon_s}{\epsilon_\infty}$ —> DIELECTRIC CONSTANT INCLUDING PHONON
 —> DIELECTRIC CONSTANT WITHOUT PHONON CONTRIBUTION

Dispersion OF LIGHT

$$\omega(q) = c q \sqrt{\epsilon_\infty}$$

WE EXPECT INTERACTION FOR $q_0 \approx \sqrt{\epsilon_\infty} \omega_{TO}/c$



$$\frac{c^2 q^2}{\omega^2} = \epsilon(\omega)$$

SIMPLE HARMONIC OSCILLATOR

$$\left[\begin{array}{c} \oplus \ominus \\ \pm e^* \quad M_1, M_2 \quad M^* \end{array} \right]$$

$$\ddot{u}_m = -\omega_0^2 u_m + \frac{e^*}{M^*} \mathcal{E}$$

$$j_l = e^* u$$

$$\ddot{u}_m = -\omega_0^2 u_l + \frac{e^*}{M^*} \mathcal{E}$$

3 WE SEARCH FOR A STEADY-STATE SOLUTION

$$i(kn - \omega\epsilon)$$

RESPONSE
PHIIONS TO

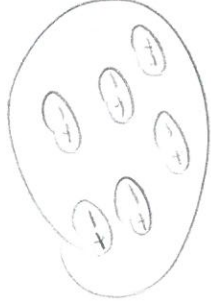
$$i(kn - \omega\epsilon)$$

$$\vec{E}(n, t) = \vec{E}_0 e$$

$$u = u_0 e$$

$$u_0 = \frac{e \cdot E}{M(\omega_0^2 - \omega^2)}$$

$P = \frac{N}{V} e^* \cdot u(n, t) \rightarrow$ THE MACROSCOPIC POLARIZATION



$$\frac{N}{V} = n \text{ (DENSITY)}$$

WE KNOW MAXWELL EQUATIONS IN SOLIDS

$$D = \epsilon + 4\pi P = \epsilon E$$

$$E \rightarrow D \epsilon = 4\pi P_{TOT}$$

$$D \rightarrow D = 4\pi P_{EXT}$$

FROM PREVIOUS EQUATION

$$\epsilon = 1 + 4\pi \frac{N}{V} \frac{e^*}{M(\omega_0^2 - \omega^2)}$$

$\epsilon =$

ANOMALOUS DISPERSION
IN THE REFRACTIVE
INDEX

\int INCLUDING ALSO
ELECTRONS

SELLMEIER EP.

$$\epsilon(\omega) = \epsilon_\infty + 4\pi \frac{N}{V} \frac{e^*}{M(\omega_0^2 - \omega^2)}$$

FOR $\omega \gg \omega_0$
ATOMS CANNOT
FOLLOW ELECTRIC
FIELD ONLY ELECTRON
CONTRIBUTION

WE KNOW FROM GAUSS LAW THAT

$$\nabla \cdot D = 0$$

$$\nabla \cdot D = \nabla \cdot (\epsilon \cdot E) \approx \epsilon \nabla \cdot E = \epsilon (k \cdot E) = 0$$

CASE 1

(1)

$$E_0 \perp k$$

$$(k \cdot E) = 0$$

IF E_0 IS ZERO TRIVIAL CASE

$$u = \rho = D = 0$$

NO ELECTRIC FIELD

OTHERWISE $\omega_i = \omega_T$

FOR A TO PHONON $E(\omega)$ HAS POLES AT $\omega = \omega_T$

CASE 2

$$E_0 \parallel k \Rightarrow D = 0$$

$$\epsilon(\omega_L) = 0 \quad \omega_L^2 = \omega_T^2 + \frac{4\pi N}{V} \frac{e^2}{m \epsilon_\infty}$$

WHEN $E \neq 0$ $D = 0 = \epsilon + 4\pi P$

$$E_L = -\frac{4\pi P}{\epsilon_\infty} = -\frac{4\pi N}{\epsilon_\infty} \frac{Ne^2}{Vm}$$

RESTORING FORCE PRODUCES HIGHER FREQUENCY



IF YOU EXCITE A ω PHONON THIS ϵ CHANGES IF FREQUENCY

N, V, e, m DIFFICULT TO MEASURE LET DEFINE

$$\textcircled{1} \epsilon(0) = \epsilon_\infty + \frac{4\pi N}{m} e^2 \frac{1}{\omega_T^2}$$

$$\textcircled{2} \frac{4\pi N}{V} \frac{e^2}{m} = (\epsilon_0 - \epsilon_\infty) \omega_T^2$$

(5)

$$\epsilon(\omega) = \epsilon_{\infty} + \omega_T^2 (\epsilon_0 - \epsilon_{\infty}) \frac{1}{\omega^2 - \omega_T^2}$$

\Downarrow

$$\epsilon(\omega) = \epsilon_{\infty} + \frac{\epsilon_0 - \epsilon_{\infty}}{1 - (\omega_T^2 / \omega^2)}$$

\Downarrow

$$\frac{\epsilon(\omega)}{\epsilon_{\infty}} = \frac{\omega^2 - \omega_T^2}{\omega^2 - \omega_T^2} \rightarrow \frac{\epsilon_0}{\epsilon_{\infty}} = \frac{\omega_i^2}{\omega_T^2} \quad \text{Dip}$$

LYDDANE - SACKS - TELLER RELATION

More General Case

Full Maxwell Equations

•) PROBLEMS WITH PREVIOUS RESULT

- 1) NOT FULL MAXWELL EQUATION
- 2) LO - TO SHOULD BE DEGENERATE AT $\omega = 0$ AT $\omega = 0$ NOT POSSIBLE TO DISTINGUISH LO AND TO ρ_0

FULL MAXWELL EQUATIONS Resolving

(6)

$$\left\{ \begin{array}{l} \nabla \cdot \mathbf{D} = 0 \\ \nabla \cdot \mathbf{B} = 0 \\ \nabla \times \mathbf{H} = \frac{1}{c} \frac{\partial \mathbf{D}}{\partial t} \\ \nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} \end{array} \right.$$

$\mathbf{B} \rightarrow$ MAGNETIC INDUCTION
 $\mathbf{H} \rightarrow$ MAGNETIC FIELD

• SINCE EM WAVES ARE TRANSMVERSE THEY COUPL TO TO MODS

• FOR NON-MAGNETIC MATERIALS $\mathbf{B} = \mathbf{H}$

$$\nabla \cdot \mathbf{E} = \epsilon_0 \mathbf{E} + 4\pi \rho_{ind}$$

$$\Downarrow \nabla \times \mathbf{H} = \frac{1}{c} \frac{\partial \mathbf{D}}{\partial t} = \frac{\epsilon_0}{c} \frac{\partial \mathbf{E}}{\partial t} + \frac{4\pi}{c} \frac{\partial \rho}{\partial t}$$

$$\Downarrow \nabla \times \nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \nabla \times \mathbf{B}}{\partial t} = -\frac{1}{c^2} \frac{\partial^2 \mathbf{D}}{\partial t^2}$$

$$\Downarrow \nabla \times \nabla \times \mathbf{E} = -\epsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2} - \frac{4\pi}{c^2} \frac{\partial \rho}{\partial t}$$

$$\nabla \times \nabla \times = \nabla^2 (\mathbf{D} \dots) - \nabla^2$$

$$\Downarrow -\nabla^2 \mathbf{E} = -\frac{\epsilon_0}{c^2} \ddot{\mathbf{E}} - \frac{4\pi}{c^2} \dot{\rho}$$

$\rho = Ne * \ddot{U}$

$$\Downarrow \ddot{\rho} = -\omega_T^2 \rho - \frac{Ne^2}{m} \mathbf{E} = -\omega_T^2 \rho + (\epsilon_0 - \epsilon_m) \omega_p^2$$

$i(qr - \omega t)$

$$E = E_0 e$$

(7)

$$P = P_0 e$$

IF WE SUBSTITUTE WE FIND:

$$\begin{cases} -\omega^2 P_0 = -\omega_0^2 P_0 + \omega_0 \frac{E_0 - \epsilon_\infty E_0}{4\pi} \end{cases}$$

$$\begin{cases} q^2 E_0 = \frac{\epsilon_\infty}{c^2} \omega^2 E_0 + \frac{4\pi}{c^2} \omega^2 P_0 \end{cases}$$

$$\left| \begin{array}{l} \omega_0^2 - \omega^2 \\ -4\pi \omega^2 \end{array} \right| \begin{array}{l} -\omega_0^2 \frac{\epsilon_\infty - \epsilon_0}{4\pi} \\ c^2 q^2 - \epsilon_\infty \omega^2 \end{array} \Bigg| = 0$$

SOLUTION

$$\omega^2 = \frac{1}{2\epsilon_\infty} \left[\omega_0^2 \epsilon_\infty + c^2 q^2 \pm \sqrt{(\omega_0^2 \epsilon_\infty + c^2 q^2)^2 - 4\omega_0^2 c^2 q^2 \epsilon_\infty} \right]$$

TWO CASES $(c/\sqrt{\epsilon_\infty}) q_0 = \omega_{T0} \quad q \gg q_0$

$$\begin{cases} \omega^2 = \omega_0^2 = \omega_{T0}^2 & E_0 = 0 \quad P_0 \neq 0 \quad \text{Phonon} \\ \omega^2 = \frac{c^2}{\epsilon_\infty} q^2 & E_0 \neq 0 \quad P_0 = 0 \quad \text{light} \end{cases}$$

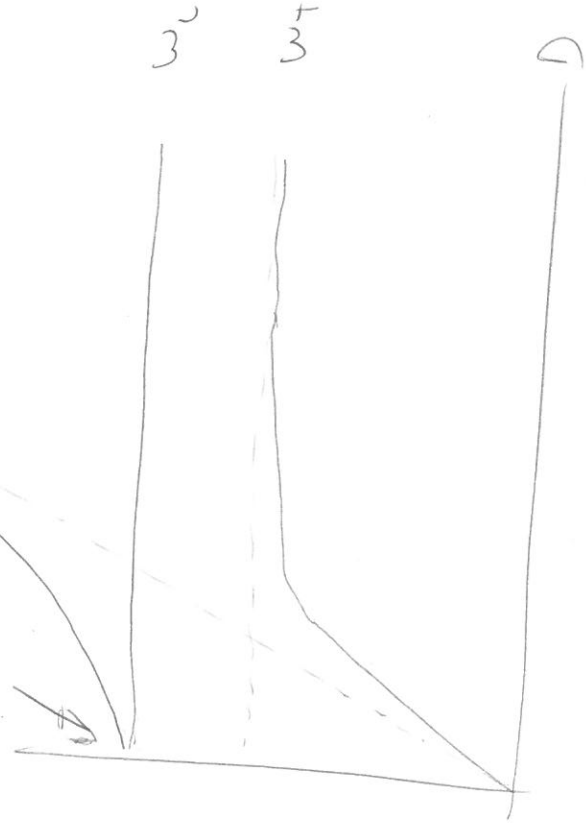
$q \ll q_0$

$$\begin{cases} \omega^2 = \omega_0^2 \frac{\epsilon_\infty}{\epsilon_0} = \omega_{L0}^2 & E_0 = -\frac{4\pi}{\epsilon_\infty} P_0 \\ \omega^2 = \frac{c^2}{\epsilon_\infty} q^2 & P_0 = \frac{\epsilon_\infty - \epsilon_0}{4\pi} E_0 \end{cases} \quad q \ll q_0$$

MECHANICAL - ELECTROMAGNETIC WAVES

8

DEGENERATE
RESTORED



LEVEL

ANTI CROSSING

FOR $w_c \ll w_t$

TO CONTRIBUTE
TO THE DISPERGIVE
CONSTANT

CHANGE PHENOMENON

DISPERSION

E_0 INSTEAD OF E_∞